

Privacy Notice BNP Paribas Securities Corp.

The confidentiality of personal information of our customers is paramount to our firm and its employees. BNP Paribas Securities Corp. (“BNPPSC”) maintains the highest standards to safeguard nonpublic personal information of our customers and to comply with privacy rules and regulations as required by all applicable law. BNPPSC and its employees are committed to protecting your nonpublic personal information and using it in a proper manner.

BNPPSC restricts access to your nonpublic personal and account information to those employees with a need to know the information in order to provide you with products or services. BNPPSC maintains physical, electronic and procedural safeguards to guard your nonpublic personal information. We train our employees to process nonpublic personal information appropriately.

Based upon our relationship with you, BNPPSC collects personal information from and about you that is adequate, relevant and appropriate in order to provide the services you require. This Privacy Notice details our practices regarding the use, retention and security of the information about you and our compliance in accordance with all applicable law. To the extent the European General Data Protection Regulation (EU) 2016/679 (GDPR) applies, please refer to https://cib.bnpparibas.com/about/privacy-policy_a-38-60.html for information as to how we use your personal data. BNPPSC may collect, share, or verify public or nonpublic personal information from or about you from the following sources:

- From information that you provide from bank applications, forms, or communications and other instructions
- From your customer transactions that are cleared by BNPPSC
- From nonaffiliated third parties from whom we obtain information about you in connection with our customer relationship, i.e., your creditworthiness. These non-financial entities include verification services, and public reference sources including the internet.
- From nonaffiliated entities that help us process your applications or service your account, such as transfer agents, other clearing entities, printing and mailing your confirmations and account statements; and provide us with consulting, accounting, legal and other business purposes.

BNPPSC might be called upon to provide personal information that you provide from applications, forms and account information to third parties as permitted by law, such as government agencies or respond to a subpoena or court order, or a request from a self-regulatory organization that has jurisdiction over BNPPSC.

BNPPSC does not rent or sell customer personal information. If you become aware of any discrepancies in your nonpublic personal information, please notify us and we will make every effort to make the correction immediately.

Do not track: Our Sites and our Apps do not process or respond to "do not track" signals or other similar web browser signals.

If you decide to close your account(s) with us or become an inactive customer, BNPPSC will continue to adhere to the contents of this Privacy Notice.

If you have any questions regarding this Privacy Notice, please call us at (212) 841-2676.